

INFORMATION DISCLOSURE CITATION <small>(Use several sheets if necessary)</small>				ATTY DOCKET NO.		SERIAL NO.	
				ML-0376D3		10/040,664	
				APPLICANT(S) Richard A. Holub			
				FILING DATE		GROUP	
				January 7, 2002		2624	
APR 12 2002 U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SMB	TRADEMARK OFFICE	5,532,848	07/02/1996	Beretta	—	—	
RECEIVED APR 17 2002 Technology Center 2600							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
							NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
SMB	2	Sara, Jason J., The Automated Reproduction of Pictures with Nonreproducible Colors, MIT Doctorial Thesis, Aug. 1984.					
EXAMINER <i>Stephen Biniak</i>				DATE CONSIDERED <i>9/12/04</i>			

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	
(use several sheets if necessary)	
(PTO-1449)	

ATTY. DOCKET NO. ML-0376 D3	SERIAL NO. N/A
APPLICANT Richard A. Holub	
FILING DATE Concurrently herewith	GROUP To be assigned



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SmB</i>	1	2,790,844	4/30/57	Neugebauer			
<i>SmB</i>	2	4,500,919	2/19/85	Schreiber			
<i>SmB</i>	3	4,987,496	1/22/91	Greivenkamp, Jr.			
<i>SmB</i>	4	5,107,332	4/21/92	Chan			
<i>SmB</i>	5	5,109,275	4/28/92	Naka et al.			
<i>SmB</i>	6	5,182,721	1/26/93	Kipphan et al.			
<i>SmB</i>	7	5,185,673	2/9/93	Sobel			
<i>SmB</i>	8	5,200,816	4/6/93	Rose			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SmB</i>	9	C. Södergård, T. Lehtonen, R. Launonen, & Juuso Äikäs, "A System For Inspecting Colour Printing Quality", Reprinted from TAGA Proceedings, Vol. 1, The Technical Association Of The Graphic Arts, Rochester, New York, pp. 620-634, 1995.
<i>SmB</i>	10	R. Blessing, "Before Your Very Eyes", Publishing & Production Executive, August 1995.
<i>SmB</i>	11	"Graphic Technology-Color Reflection Target For Input Scanner Calibration", American National Standard, Annex B, pp. 18-20, June 1993. (ANSI® IT, 7/2-1993)

EXAMINER

Stephen Blinch

DATE CONSIDERED

9/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 6.9; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.	SEARCH NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		ML-037603	N/A
(use several sheets if necessary)		APPLICANT	
(PTO-1449)		Richard A. Holub	
		FILING DATE	GROUP
		Concurrently herewith	To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SMB	12	5,231,481	7/27/93	Eouzan et al.			
SMB	13	5,272,518	12/21/93	Vincent			
SMB	14	5,309,257	5/3/94	Bonino et al.			
SMB	15	5,319,437	6/7/94	Van Aken et al.			
SMB	16	5,394,204	2/28/95	Shigeta et al.			
SMB	17	5,465,111	11/7/95	Fukushima et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATI- IF APPRO- PRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SNB</i>	18	H. Boll, "A Color To Colorant Transformation For A Seven Ink Process", SPIE, Vol. 2170, pp. 108-118, 1994.
<i>SNB</i>	19	"International Color Consortium Profile Format", Version 3.01, May 8, 1995.
<i>SNB</i>	20	C. Södergård, M. Kuusisto, Y. Xiaohan, K. Sandström, "On-line Control of the Colour Print Quality Guided by the Digital Page Description", Reprinted from Proceeding of 22nd International Conference On Printing Research Institutes, Munich, Germany, 1993

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ROC 10-83732

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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(PTO-1449)

ATTY. DOCKET NO. ML-0376 D3	SF NO. N/A
APPLICANT Richard A. Holub	
FILING DATE Concurrently herewith	GROUP To be assigned

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

Reference, Title, Date, Pertinent Pages, Etc.			
<i>SMB</i>	21	J. Gordon & R. Holub, "On the Use of Linear Transformations for Scanner Calibration", Communications and Comments, Color Research and Application, Vol. 18, No. 13, pp. 218-219, June 1993.	
<i>SMB</i>	22	"MCT" Metric Color Tag Specification-Draft", Revision 1.1d, EfiColor Developers Desk, Electronics for Imaging, Inc., pp. 1-30, March 22, 1993.	
<i>SMB</i>	23	R. H. Johnson, & D. W. Wichern, Applied Multivariate Statistical Analysis, 3rd Edition, Prentice Hall, Chapter 8, pp. 356-395, 1992.	
<i>SMB</i>	24	A. Hardy & F. Wurzburg, Jr., "Color Correction In Color Printing", Journal Of The Optical Society Of America, Vol. 38, No. 1, pp. 300-307, April 1948.	

EXAMINER <i>Stephen Blinich</i>	DATE CONSIDERED <i>9/23/04</i>
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
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(PTO-1449)

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ML-0376 D3

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APPLICANT

Richard A. Holub

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLAT. IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SNB</i>	25	H. E. J. Neugebauer, "The Colorimetric Effect Of The Selection Of Printing Inks And Photographic Filters On the Quality Of Multicolor Reproductions", TAGA Proceedings, Technical Association Of The Graphic Arts, Rochester, New York, pp. 15-29, 1956.
<i>SNB</i>	26	I. Pobboravsky, "A Proposed Engineering Approach To Color Reproduction", TAGA Proceedings, Technical Association Of The Graphic Arts, Fourteen Annual Meeting, Rochester, New York, pp. 127-165, June 11-13, 1962.
<i>SNB</i>	27	R. H. Gallagher, Finite Element Analysis: Fundamentals, Prentice-Hall, Englewood Cliffs, New Jersey, Chapter 8, pp. 228-241, 1975
<i>SNB</i>	28	W. K. Pratt, Digital Image Processing, New York: Wiley, Chapter 19, pp. 551-559, 1978.

EXAMINER

Stephen Blinch

DATE CONSIDERED

9/23/04

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
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A. A. Y. DOCKET NO.

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Richard A. Holub

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SNB</i>	29	Commission Internationale de L'Eclairage, "Colorimetry", Second Edition, Austria, Publication CIE 15.2, pp. 19-23, 27-32, 1986.
<i>SNB</i>	30	J. Gordon, R. Holub, & R. Poe, "On the Rendition of Unprintable Colors", TAGA Proceedings, Technical Association Of The Graphic Arts, Rochester, New York, pp. 1-10, 1987.
<i>SNB</i>	31	R. Holub, W. Kearsley & C. Pearson, "Color Systems Calibration for Graphic Arts: I. Input Devices", <u>Journal Of Imaging Technology</u> , Vol. 14, No. 2, pp. 47-52, April 1988.
<i>SNB</i>	32	R. Holub, W. Kearsley & C. Pearson, "Color Systems Calibration For Graphic Art: II. Output Devices", <u>Journal Of Imaging Technology</u> , Vol. 14, No. 2, pp. 53-60, April 1988.

EXAMINER

ER Stephen Binch

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PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SHB</i>	33	R. Holub & W. Kearsley, "Color to colorant conversions in a colorimetric separation system", SPIE, Vol. 1184, Japan, pp. 24-35. December 1989.
<i>SHB</i>	34	J. Greivenkamp, "Color dependent optical prefilter for the suppression of aliasing artifacts", Applied Optics, Vol. 29, No. 5, pp. 676-684. February 10, 1990.
<i>SHB</i>	35	C. Södergård, I. Yläkoski, & H. Vanhala, "A General Teleproofing System", Reprinted from In: Proceedings of the TAGA Conference, Rochester, New York, pp. 88-99, May 1991.
<i>SHB</i>	36	"TIFF™", Revision 6.0 Final - June 3, 1992, Aldus Corporation, Seattle, Washington, pp. 13-16, 1992.

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Richard A. Holub

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATI IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SMB</i>	37	C. Hoyt, "Toward Higher Res. Lower Cost Quality Color and Multispectral Imaging", Reprinted from Advanced Imaging, April 1995
<i>SMB</i>	38	"Abstracts of Awards for Fiscal Year 1995", SBIRP, U.S. Department of Commerce, pp. 46.
<i>SMB</i>	39	"Experience The Future", Komori World News 28, International Edition, p. 5, September 1995.
<i>SMB</i>	40	"Introducing Imagexpo™ 1.2 Interactive remote viewing and annotation software for the graphic arts professional", Group Logic, Arlington, Virginia, 1994-1995.
EXAMINER	<i>Stephen Blinck</i>	DATE CONSIDERED 9/13/04

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Richard A. Holub

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE
				..			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>SNB</i>	41	W. Press, B. Flannery, S. Teukolsky, & W. Vetterling, "Numerical Recipes The Art of Scientific Computing", Cambridge University
		Press, Chapter 14.3, pp. 509-520, Chapter 5.3, pp. 137-140, Chapter 9.6, pp. 269-273, & Chapter 10.8-10.9, pp. 312-334, 1986
<i>SNB</i>	42	R. Holub, "The Future Of Parallel, Analog And Neural Computing Architectures In The Graphic Art", TAGA Proceedings,
		pp. 80-112, 1988.
<i>SNB</i>	43	Y. Garini, Ph.D., "Thin-Film Measurements Using SpectraCube", Application Note For Thin Film Measurements, Spectral Diagnostics
		(SD) Ltd., pp. 1-6, January 1995.
<i>SNB</i>	44	M. Schwartz, R. Holub, & J. Gilbert, "Measurements of Gray Component Reduction in Neutrals and Saturated Colors",
		TAGA Proceedings, pp. 16-27, 1985.
<i>SNB</i>	45	R. Holub, "Colorimetric Aspects Of Image Capture", Track III-Image Processing, IS&T's 48th Annual Conference
		Proceedings, Arlington, Virginia, pp. 449-451, May 1995.

EXAMINER

Stephen Blinch

DATE CONSIDERED

9/13/01

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 6.9; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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APPLICANT

Richard A. Holub

FILING DATE

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

<i>Smith</i>	46	Ocean Optics, Inc., Price and Data Sheet for Spectrometers, March 1, 1995.					

EXAMINER: *Stephen Brinich*

DATE CONSIDERED

9/13/04

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ROC10:83732

INFORMATION DISCLOSURE STATEMENT
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Applicant(s)
Richard A. Holub

Filing Date

Filing Date **Concurrently herewith**

Group Art Unit

N/A

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

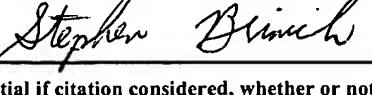
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translations	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Stephen Duncan EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) ML-0376D3		Application Number N/A	
				Applicant(s) Richard A. Holub			
				Filing Date Concurrently herewith		Group Art Unit N/A	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SMB</i>	50	5,432,906	07/11/1995	NEWMAN ET AL.			
<i>SMB</i>	51	4,839,829	06/13/1989	FREEDMAN			
<i>SMB</i>	52	6,043,909	03/28/2000	HOLUB			
<i>SMB</i>	53	6,157,735	12/05/2000	HOLUB			
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
<i>SMB</i>	54	Heidelberger Druckmaschinen Aktiengesellschaft, "In-Line Image Control CPC 23", pp. 1-4.					
<i>SMB</i>	55	Micrografx Picture Publisher Reference Guide, 1992.					
EXAMINER				DATE CONSIDERED			
<i>Stephen Binch</i>				<i>9/13/04</i>			

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INFORMATION DISCLOSURE CITATION <small>(Use several sheets if necessary).</small>				Docket Number (Optional) ML-0376D3		Application Number 10/040,664	
				Applicant(s) Richard A. Holub		Filing Date 01/07/2002	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RECEIVED FEB 13 2003 Technology Center 2600							
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		International Color Consortium, ICC Profile Format Specification, Version 3.2, November 20, 1995					
EXAMINER				DATE CONSIDERED			
				9/13/04			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

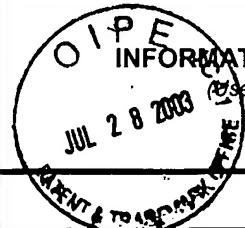
EXAMINER

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DATE CONSIDERED

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ATTY DOCKET NO. **ML-0376D3**

SERIAL NO. 10/040.664

Applicant: Richard A. Holub

FILING 01/07/2002	GROUP 2624
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Swen</i>	4,843,573	06/27/1989	TAYLOR ET AL.	<i> </i>	<i> </i>	
<i>Swen</i>	5,428,720	06/27/1995	ADAMS, JR.	<i> </i>	<i> </i>	
<i>Swen</i>	5,680,333	10/21/1997	JANSSON	<i> </i>	<i> </i>	
<i>Swen</i>	5,806,081	09/08/1998	SWEN ET AL.	<i> </i>	<i> </i>	

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JUL 30 2003

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FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

SNB | | Cowan, W., An Inexpensive Scheme for Calibration of a Colour Monitor in Terms of CIE Standard Coordinates, Computer Graphics, Vol. 17, No. 3, pp. 315-321 (1983).

EXAMINER

Stephen Bimich

DATE CONSIDERED

9/13/09

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Applicant: Richard A. Holub

FILING 01/07/2002 GROUP 2624

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SmB</i>	4,677,465	06/30/1987	ALKOFER			
<i>SmB</i>	4,729,016	03/01/1988	ALKOFER			
<i>SmB</i>	4,745,465	05/17/1988	KWON			RECEIVED
<i>SmB</i>	5,049,986	09/17/1991	AONO ET AL.			JUL 15 2003
<i>SmB</i>	5,177,602	01/05/1993	FUJIMORI			Technology Center 2600
<i>SmB</i>	5,278,641	01/11/1994	SEKIZAWA ET AL.			
<i>SmB</i>	5,414,538	05/09/1995	ESCHBACH			
<i>SmB</i>	5,416,890	05/16/1995	BERETTA			
<i>SmB</i>	5,426,517	06/20/1995	SCHWARTZ			
<i>SmB</i>	5,444,556	08/22/1995	ITO ET AL.			
<i>SmB</i>	5,450,502	09/12/1995	ESCHBACH ET AL.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>SmB</i>	Buckley, R., Specifying Color in Office Documents, TAGA Proceedings, pp. 30-43 (1990).
<i>SmB</i>	Masia, A., A Digital Color Separating System Based on Principles of Colorimetry, TAGA Proceedings, pp. 346-361 (1984).

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS FILING DATE IF APPROPRIATE
<i>SMB</i>		5,495,428	02/27/1996	SCHWARTZ		
<i>SMB</i>		5,539,539	07/23/1996	FUJIMOTO ET AL.	RECEIVED	
<i>SMB</i>		5,544,258	08/06/1996	LEVIENT	JUL 15 2003	
<i>SMB</i>		5,604,596	02/18/1997	UKAI ET AL.	Technology Center 2600	
<i>SMB</i>		5,668,890	09/16/1997	WINKELMAN		
<i>SMB</i>		5,809,165	09/15/1998	MASSEN		
<i>SMB</i>		5,812,286	09/22/1998	LIN		
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>SMB</i>		Newman, T., Improved Color for the World Wide Web: A Case Study in Color Management for Distributed Digital Media, TAGA Proceedings, Vol. 2, pp. 772-787 (1995).				
EXAMINER	<i>Stephen Blich</i>		DATE CONSIDERED		9/13/04	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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